

Know Your Client (KYC) Application Form (For Individuals Only)

INDIABULLS SECURITIES LIMITED

Correspondence Address: 12th Floor, Tower - A, Building No. C-5, DLF Cyber City, DLF Phase-3, Gurgaon - 122002.
Tel.: 0124 3834500, Fax: 0124 3834501

Client Helpline: 0124 4572444, Fax: 0124 6681111, E-mail: helpdesk@indiabulls.com, Website: www.indiabulls.com
Regd. Office : M 62 & 63, First Floor, Connaught Place, New Delhi - 110001. Tel.: 011 30252900, Fax: 011 30252901

PHOTOGRAPH

Please affix the recent passport size photograph and sign across it



Please fill in ENGLISH and in BLOCK LETTERS with black ink.

A. IDENTITY DETAILS (please see guidelines overleaf)

Name of the applicant (As appearing in supporting identification document)

Name															
Father's / Spouse Name															
Gender		<input type="checkbox"/> Male <input type="checkbox"/> Female				Marital Status		<input type="checkbox"/> Single <input type="checkbox"/> Married							
Date of Birth		D	D	M	M	Y	Y	Y	Y	Nationality		<input type="checkbox"/> Indian <input type="checkbox"/> Other _____			
Status Please tick (✓)		<input type="checkbox"/> Resident Individual						<input type="checkbox"/> Foreign National (Passport Copy Mandatory for NRIs & Foreign Nationals)							
		<input type="checkbox"/> Non Resident													
PAN								Please enclose a duly attested copy of your PAN Card							
Unique Identification Number (UID)/Aadhaar, if any															
Proof of Identity submitted for PAN exempt cases Please tick (✓)		<input type="checkbox"/> UID (Aadhaar) <input type="checkbox"/> Passport <input type="checkbox"/> Voter ID						(Please see guidelines 'D' overleaf)							
		<input type="checkbox"/> Driving Licence <input type="checkbox"/> Others _____													

B. ADDRESS DETAILS (please see guidelines overleaf)

Address For Correspondence											
										City/Town/Village	
State		Country				PIN Code					

Contact Details

Tel. (Off)	ISD	STD										Tel. (Res.)	ISD	STD								
Mobile	ISD	STD										FAX	ISD	STD								
E-mail ID																						

Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.

- Passport Ration Card Registered Lease/Sale Agreement of Residence Driving License
- Voter Identity Card * Latest Bank A/c Statement/Passbook * Latest Telephone Bill (only Land Line)
- * Latest Electricity Bill * Latest Gas Bill Others _____

* Not more than 3 Months old. **Validity/Expiry date of proof of address submitted** D D M M Y Y Y Y

Permanent Address of Resident Applicant if different from Correspondence Address OR Overseas Address (Mandatory) for Non-Resident Applicant											
										City/Town/Village	
State		Country				PIN Code					

Signature

Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.

- Passport
 Ration Card
 Registered Lease/Sale Agreement of Residence
 Driving License
 Voter Identity Card
 * Latest Bank A/c Statement/Passbook
 * Latest Telephone Bill (only Land Line)
 * Latest Electricity Bill
 * Latest Gas Bill
 Others _____

* Not more than 3 Months old. **Validity/Expiry date of proof of address submitted**

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

	Signature of the Applicant
Signature	

Place _____

Date: _____

IDENTITY VERIFIED "IN-PERSON" - INDIABULLS SECURITIES LIMITED

IPV Done <input type="checkbox"/> on	<table border="1" style="display: inline-table; border-collapse: collapse;"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y	Place		SEAL/STAMP
D	D	M	M	Y	Y	Y	Y					
Employee Name												
Designation		Date	<table border="1" style="display: inline-table; border-collapse: collapse;"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y	
D	D	M	M	Y	Y	Y	Y					
Employee's Signature												

FOR OFFICE USE ONLY

Intermediary name **INDIABULLS SECURITIES LIMITED**

<input type="checkbox"/> (Originals verified) True copies of documents received	SEAL/STAMP								
<input type="checkbox"/> (Self-Attested) Self Certified Document copies received									
Employee Name									
Designation		Date <table border="1" style="display: inline-table; border-collapse: collapse;"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y
D	D	M	M	Y	Y	Y	Y		
Employee's Signature									

INSTRUCTIONS/CHECKLIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI): - List of documents admissible as Proof of Identity:

1. PAN card with photograph. This is mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
2. Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter ID card/ Driving license.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA) : List of documents admissible as Proof of Address: (**Documents having an expiry date should be valid on the date of submission.*)

1. Passport/ Voters Identity Card/ Ration Card/ Registered Lease or Sale Agreement of Residence/ Driving License/ Flat Maintenance bill/ Insurance Copy/ Unique Identification Number (UID) (Aadhaar).
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook -- Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN (**Sufficient documentary evidence in support of such claims to be collected*)

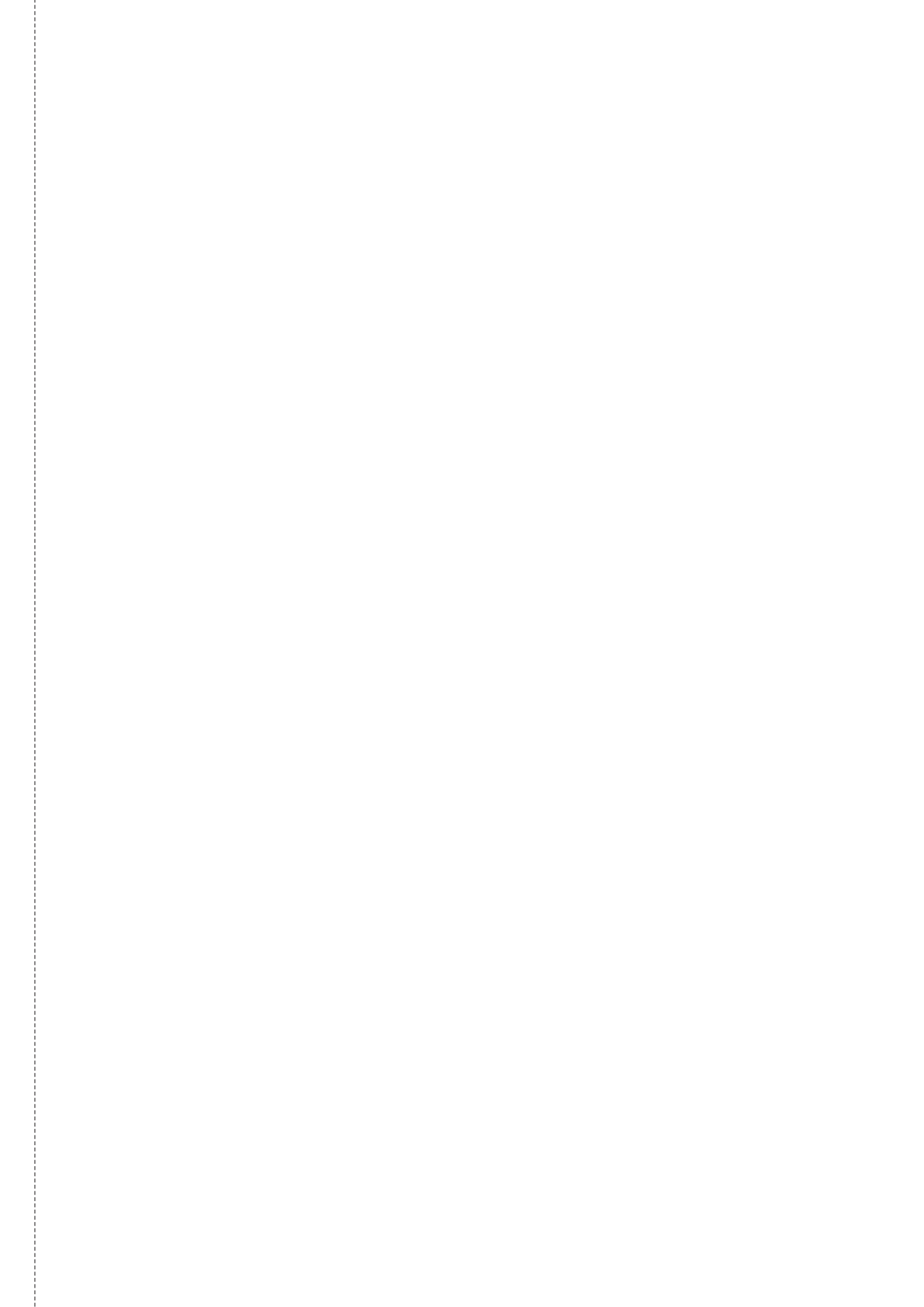
1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs 50, 000/- p.a.
5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/ Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy /Consulate General in the country where the client resides are permitted to attest the documents.

Signature 





Additional KYC Form for Opening a Demat Account INDIABULLS SECURITIES LIMITED

Correspondence Address: 12th Floor, Tower - A, Building No. C-5, DLF Cyber City, DLF Phase-3, Gurgaon - 122002.
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Regd. Office : M 62 & 63, First Floor, Connaught Place, New Delhi - 110001. Tel.: 011 30252900, Fax: 011 30252901
SEBI Registration Number : IN-DP-CDSL-204-2003, DP ID : 12029900



(To be filled by the Depository Participant)

DP Internal Reference No.										Date		D	D	M	M	Y	Y	Y	Y
DP ID	1	2	0	2	9	9	0	0	Client ID										

(To be filled by the applicant in **BLOCK LETTERS** in English)

I/We request you to open a demat account in my/ our name as per following details:-

Holders Details

Sole / First Holder's Name									
PAN									
Second Holder's Name									
PAN									
Third Holder's Name									
PAN									
Name *	<div style="border-bottom: 1px solid black; height: 20px; width: 100%;"></div> <div style="border-bottom: 1px solid black; height: 20px; width: 100%;"></div>								
<p>* In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.</p>									

Type of Account (Please tick whichever is applicable)

Status	Sub - Status	
<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Individual Margin Trading A/C (MANTRA)	<input type="checkbox"/> Individual-Director <input type="checkbox"/> Individual HUF / AOP <input type="checkbox"/> Minor <input type="checkbox"/> Others(specify) _____
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Repatriable Promoter <input type="checkbox"/> NRI - Depository Receipts	<input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> NRI Non-Repatriable Promoter <input type="checkbox"/> Others(specify) _____
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National <input type="checkbox"/> Others (specify)_____	<input type="checkbox"/> Foreign National - Depository Receipts

Details of Guardian (in case the account holder is minor)

Guardian's Name										
PAN										
Relationship with the applicant										
I/We instruct the DP to receive each and every credit in my/our account (If not marked, the default option would be 'Yes')						[Automatic Credit] <input type="checkbox"/> Yes <input type="checkbox"/> No				
Account Statement Requirement	<input type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly									
I / We request you to send Electronic Transaction-cum-Holding Statement at the email ID _____						<input type="checkbox"/> Yes <input type="checkbox"/> No				
I / We would like to instruct the DP to accept all the pledge instructions in my/our account without any other further instruction from my/our end (if not marked, the default option would be 'No')						<input type="checkbox"/> Yes <input type="checkbox"/> No				
I / We would like to share the email ID with the RTA						<input type="checkbox"/> Yes <input type="checkbox"/> No				
I / We would like to receive the Annual Report <input type="checkbox"/> Physical / <input type="checkbox"/> Electronic / <input type="checkbox"/> Both Physical and Electronic (Tick the applicable box. If not market the default option would be in Physical)										
Do you wish to receive dividend / interest directly in to your bank account given below through ECS? (If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time]						<input type="checkbox"/> Yes <input type="checkbox"/> No				

OTHER DETAILS (please see guidelines overleaf)

Gross Annual Income Details (please tick (✓)	<input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs <input type="checkbox"/> > 25 Lacs									
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OR

Net-Worth in Rs.	(* Net Worth should not be older than 1 year)					as on (date) <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>				
Occupation (Please tick (✓) any one and give brief details):	<input type="checkbox"/> Private Sector Service <input type="checkbox"/> Public Sector <input type="checkbox"/> Government Service <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Forex Dealer <input type="checkbox"/> Others (Please Specify)_____									
Please tick if applicable	<input type="checkbox"/> Politically Exposed Person <input type="checkbox"/> Related to Politically Exposed Person For definition of PEP, please refer guideline overleaf									
Any other information										

Bank Details [Dividend Bank Details]

Bank Code (9 digit MICR code)																
IFS Code (11 character)																
Account number																
Account type	<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Others (specify)_____															
Bank Name																
Branch Name																
Bank Branch Address																
						City										
State						Country					PIN Code					

- (i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
- (ii) Photocopy of the Bank Statement having name and address of the BO
- (iii) Photocopy of the Passbook having name and address of the BO, (or)
- (iv) Letter from the Bank.

➤ In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document.

<p align="center">SMS Alert Facility Refer to Terms & Conditions given as Annexure - 'A'</p>	<p>MOBILE NO. +91 <input style="width: 100px;" type="text"/></p> <p>[(Mandatory, if you are giving Power of Attorney (POA)]</p> <p>(if POA is not granted & you do not wish to avail of this facility, cancel this option).</p>										
<p>Transaction using Secured Texting Facility (TRUST). Refer to Terms and Conditions Annexure - 2.6</p>	<p>I wish to avail the TRUST facility using the Mobile number registered for SMS Alert Facility. I have read and understood the Terms and Conditions prescribed by CDSL for the same.</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>I/We wish to register the following clearing member IDs under my/our below mentioned BO ID registered for TRUST</p> <table border="1" style="width: 100%; border-collapse: collapse; margin-top: 5px;"> <thead> <tr> <th style="width: 33%;">Stock Exchange Name/ID</th> <th style="width: 33%;">Clearing Member Name</th> <th style="width: 33%;">Clearing Member ID (Option)</th> </tr> </thead> <tbody> <tr> <td> </td> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> <td> </td> </tr> </tbody> </table>		Stock Exchange Name/ID	Clearing Member Name	Clearing Member ID (Option)						
Stock Exchange Name/ID	Clearing Member Name	Clearing Member ID (Option)									
<p><i>Easi</i></p>	<p>To register for <i>easi</i>, please visit our website www.cdslindia.com. <i>Easi</i> allows a BO to view his ISIN balances, transactions and value of the portfolio online.</p>										

NOMINATION DETAILS

Nomination Registration No.	Dated

Please paste here a recent passport size photograph and sign it halfway across the photograph & the form.

Please paste here a recent passport size **photograph of the nominee** and **nominee must sign** it halfway across the photograph & the form.

(3.5 x 3.5 cms)

Photograph of Nominee

Please paste here a recent passport size **photograph of the Guardian** of minor nominee and **Guardian must sign** it halfway across the photograph & the form.

(3.5 x 3.5 cms)

Photograph of Guardian if nominee is Minor

I/We the sole holder / Joint holders / Guardian (in case of minor) hereby declare that:

- I/We do not wish to nominate any one for this demat account.**
- I/We **nominate** the following person who is entitled to receive security balances lying in my/our account, particulars whereof are given below, in the event of my / our death.

Full Name of the Nominee											
Address											
State						Country			City		
Telephone No.						PIN Code			Fax No.		
PAN				UID							
E-mail ID											
Relationship with BO (If any)											
Date of birth (mandatory If nominee is a minor)				D	D	M	M	Y	Y	Y	Y

Signature of Nominee

As the nominee is a minor as on date, to receive the securities in this account on behalf of the nominee in the event of the death of the Sole holder / all Joint holders, I/We appoint following person to act as **Guardian**:

Full name of Guardian of Nominee										
Address										
							City			
State			Country				PIN Code			
Telephone No.								Fax No.		
E-mail ID										
Relationship of Guardian with Nominee										

This nomination shall supersede any prior nomination made by me/us and also any testamentary document executed by me/us.
Note : Two witnesses shall attest signature(s) / thumb impression(s)

DETAILS OF THE WITNESS		
	First Witness	Second Witness
Name of witness		
Address of witness		
Signature of witness		

I/We have read the terms & conditions DP-BO agreement and agree to abide by and be bound by the same and by the Bye-Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

	First/Sole Holder or Guardian (in case of Minor)	Second Holder	Third Holder
Name			
Signatures			

(Signatures should be preferably in black ink).

(5)

TO BE FILLED BY THE DEPOSITORY PARTICIPANT

Date: DD/MM/YYYY

Indiabulls

**ACKNOWLEDGEMENT RECEIPT
INDIABULLS SECURITIES LIMITED**

Application No.

Correspondence Address: 12th Floor, Tower - A, Building No. C-5, DLF Cyber City, DLF Phase-3, Gurgaon - 122002.
Tel.: 0124 3834500, Fax: 0124 3834501

Client Helpline: 0124 4572444, Fax: 0124 6681111

Regd. Office : M 62 & 63, First Floor, Connaught Place, New Delhi - 110001. Tel.: 011 30252900, Fax: 011 30252901

E-mail: helpdesk@indiabulls.com, Website: www.indiabulls.com

SEBI Registration Number : IN-DP-CDSL-204-2003, DP ID : 12029900

We hereby acknowledge the receipt of the Account Opening Application Form:

Name of the Sole / First Holder	
Name of Second Holder	
Name of Third Holder	

Depository Participant Seal and Signature

DECLARATION OF HUF STATUS

I wish to open a depository account of (HUF) in my capacity as its "Karta".

I hereby inform you that we are not registered under Indian Partnership Act, 1932 and further undertake to inform you of any change occurring at any time in the membership of our joint family due to birth/ death of any of the Co-parcener during the continuation of the Account.

Details of Co-parceners - Mandatory :

S. No.	Name	Age/Date of Birth	Sex	Relationship
1.				
2.				
3.				
4.				
5.				
6.				
7.				
8.				
9.				
10.				

Name of Karta _____

Signature of Karta with Stamp  _____

E-STATEMENT & SMS ALERT FACILITY FORM

To,

INDIABULLS SECURITIES LIMITED
Depository Division – E-Statement & SMS Alert Facility
12th Floor, Tower - A, Building No. C-5,
DLF Cyber City, DLF Phase-3,
Gurgaon - 122002

Dear Sir/Madam,

I/We wish to avail the below mentioned facility(ies) for my/our Demat Account with Indiabulls Securities Limited(ISL) and I/We acknowledge and grant my/our consent to ISL for providing the said services as per the given Terms & Conditions:

Statement on E-mail

I/We confirm having opted to receive the statement(s) pertaining to the below mentioned BO account in electronic mode in lieu of the physical copy of the statement(s). I/We also reserve my/our right to receive the physical copy of the statement(s) despite receiving the same in electronic mode, if such a demand is made in writing on you. I/We confirm that any change in the e-mail address or any other instructions with regard to dispatch/service of my/our e-statements on me/us shall not be binding upon ISL unless ISL is intimated in writing by me/us by acknowledged delivery. ISL shall not take cognizance of out-of-office/outofstation auto replies and I/We shall be deemed to have received such statements.

I/We will take all the necessary steps to ensure confidentiality and secrecy of the login name and password of the E-mail account.

I/We am/are aware that the statement(s) may be accessed by other entities in case the confidentiality/secrecy of the login name and password is compromised.

I/We have understood that ISL & myself/ourselves holds the authority to terminate Statement on mail facility provided a written notice is given in advance to the other party.

I/We undertake that it shall be my/our responsibility to verify all the details of my Statement(s). In case there is any discrepancy in the Statement(s), I/we shall inform ISL of the same by writing to helpdesk@indiabulls.com or to Customer Care at the above mentioned address. In case I/we do not inform and get the discrepancies corrected the same shall be deemed to have been accepted and will be binding upon me/us. However ISL in its absolute discretion, reserves the right to determine the validity of any objection to the transaction, even though the objection is received after the expiry of stipulated time.

Statement on Web

I/We confirm having opted to receive the statement(s) pertaining to the below mentioned BO account in electronic mode in lieu of the physical copy of the statement(s). I/We understand that Statement on Web facility would only be provided to me only when I have Online Trading Account with ISL.

I/We also reserve my/our right to receive the physical copy of the statement(s) despite receiving the same in electronic mode, if such a demand is made in writing on you.

I/We am/are aware that I/we will not receive the statement(s) in paper form and I/we would be required to login to Indiabulls Online account to view my statements.

I/We am/are aware that the statement(s) may be accessed by other entities in case the confidentiality/secrecy of the login name and password is compromised.

I/We have understood that ISL & myself/ourselves holds the authority to terminate Statement on web facility provided a written notice is given in advance to the other party.

I/We undertake that it shall be my/our responsibility to verify all the details of my Statement(s). In case there is any discrepancy in the Statement(s), I/we shall inform ISL of the same by writing to helpdesk@indiabulls.com or to Customer Care at the above mentioned address. In case I/we do not inform and get the discrepancies corrected the same shall be deemed to have been accepted and will be binding upon me/us. However ISL in its absolute discretion, reserves the right to determine the validity of any objection to the transaction, even though the objection is received after the expiry of stipulated time.

SMSAlert Facility

I/We understand that the SMS alerts would be sent for all types of debits, subject to maximum of four ISINs, and for credits only due to IPO and Corporate Actions into the below mentioned Demat Account. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We provide the following information for the purpose of REGISTRATION / MODIFICATION (Please cancel out whichever is not applicable, as per the option(s) selected above).

Demat Account Number

1	2	0	2	9	9	0	0										
---	---	---	---	---	---	---	---	--	--	--	--	--	--	--	--	--	--

(Please write your 8 digit Client ID)

Mobile Number

+	9	1															
---	---	---	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

(Please write only the mobile number without prefixing country code or zero on which messages are to be sent)

The mobile number is registered in the name of: _____

E-mail ID: _____

(Please write **only ONE valid E-mail ID** on which E-Statement/Communications (if any) is to be sent)

The Depository Participant shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the Depository Participant providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the Depository Participant and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a Depository Participant may at any time incur, sustain, suffer or be put to as a consequence of or rising out of interference with or misuse, improper or fraudulent use of the service by the BO.

The Depository Participant may amend the Terms and Conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

TERMS AND CONDITIONS-CUM-REGISTRATION/MODIFICATION FORM FOR RECEIVING SMS ALERTS FROM CDSL (Annexure-A)

Definition:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

- "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
- "DP" means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open Demat Accounts for investors.
- "BO" means an entity that has opened a Demat Account with the Depository. The term covers all types of Demat Accounts, which can be opened with a Depository as specified by the Depository from time to time.
- SMS means "Short Messaging Service"
- "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
- "Service Provider" means a cellular Service Provider(s) with whom the Depository has entered/will be entering into an arrangement for providing the SMS alerts to the BO.
- "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability:

1. The service will be provided to the BO at his/her request and at the discretion of the Depository. The service will be available to those account holders who have provided their mobile numbers to the Depository through their DP. The services may be discontinued for a specific period/indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The Depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the Service Provider's service area or within the range forming part of the roaming network of the Service Provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration/modification.
5. The BO is responsible for promptly intimating to the Depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the Depository. In case of change in mobile number not intimated to the Depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the Depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts:

1. The Depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration/change, the Depository shall make every effort to update the change in mobile number within a reasonable period of time. The Depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get/get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure and connectivity of the Service Provider. The Depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the Depository and/or the DP immediately in writing and the Depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the Depository liable for any loss, damages, etc. that may be incurred/suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the Depository to send any message such as promotional, greeting or any other message that the Depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, E-mail address and mobile number for marketing offers between CDSL and any other entity.
6. The BO agrees to inform the Depository and DP in writing of any unauthorized debit to his BO account/unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an E-mail to CDSL at complaints@cDSLindia.com. The BO is advised not to inform the Service Provider about any such unauthorized debit to/transfer of securities from his BO account by sending a SMS back to the Service Provider as there is no reverse communication between the Service Provider and the Depository.
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the Depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The Depository will make best efforts to provide the service. The BO cannot hold the Depository liable for non-availability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed without proper authorization, the BO should immediately inform the DP in writing.

Fees:

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

Disclaimer:

The Depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The Depository does not warrant the confidentiality or security of the SMS alerts transmitted through a Service Provider. Further, the Depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the Service Provider. The Depository will not be liable for any unauthorized use or access to the information and/or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/misuse of such information by any third person.

Liability and Indemnity:

The Depository shall not be liable for any breach of confidentiality by the Service Provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the Depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the Depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a Depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments:

The Depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing Law and Jurisdiction:


Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the Depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. **I/We consent to CDSL providing to the Service Provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by Service Provider, to be sent to the said mobile number.**

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the Depository from time to time. I/we further undertake to pay fee/ charges as may be levied by the Depository from time to time.

I/We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.


I/We am/are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the Depository for providing the service.

	First Holder	Second Holder	Third Holder
Name			
Signature 			
(In case of Joint Holders all the Demat Account holders need to compulsorily sign this document)			

Note: Signing for SMS alert facility is mandatory for clients opting for POA facility (SMS alert facility shall not be available for clients providing the ISD Number).

Terms And Conditions for availing Transaction Using Secured Texting (TRUST) Service offered by CDSL (Annexure 2.6)

1. Definitions:
In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:
 - i. "Depository" means Central Depository Services (India) Limited (CDSL)
 - ii. TRUST means "Transactions Using Secured Texting" service offered by the Depository.
 - iii. "Service Provider" means a cellular service provider(s) with whom the Depository has entered / shall enter into an arrangement for providing the TRUST service to the BO.
 - iv. "Service" means the service of providing facility to receive/give instructions through SMS on best effort basis as per the following terms and conditions. The types of transaction that would normally qualify for this type of service would be informed by CDSL from time to time.
 - v. "Third Party" means the operators with whom the Service Provider is having / will have an arrangement for providing SMS to the BO.
2. The service will be provided to the BO at his / her request and at the discretion of the depository provided the BO has registered for this facility with their mobile numbers through their DP or by any other mode as informed by CDSL from time to time . . Acceptance of application shall be subject to the verification of the information provided by the BO to the Depository
3. The messages will be sent on best efforts basis by way of an SMS on the mobile no which has been provided by the BOs. However Depository shall not be responsible if messages are not received or sent for any reason whatsoever, including but not limited to the failure of the service provider or network.
4. The BO is responsible for promptly informing its DP in the prescribed manner any change in mobile number, or loss of handset on which the BO wants to send/receive messages generated under TRUST. In case the new number is not registered for TRUST in the depository system, the messages generated under TRUST will continue to be sent to the last registered mobile number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of messages sent on such mobile number.
5. The BO agrees that SMS received by the Depository from the registered mobile number of the BO on the basis of which instructions are executed in the depository system shall be conclusive evidence of such instructions having been issued by the BO. The DP / CDSL will not be held liable for acting on SMS so received.
6. The BO shall be responsible for submitting response to the 'Responsive SMS' within the specified time period. Transactions for which no positive or negative confirmation is received from the BO, will not be executed except for transaction for deregistration. Further, CDSL shall not be responsible for BOs not submitting the response to the said SMS within the time limit prescribed by CDSL.
7. The BO agrees that the signing of the TRUST registration form by all joint holders shall mean that the instructions executed on the basis of SMS received from the registered mobile for TRUST shall be deemed to have been executed by all joint holders.
8. The BO agrees to ensure that the mobile number for TRUST facility and SMS alert (SMART) facility is the same. The BO agrees that if he is not registered for SMART, the DP shall register him for SMART and TRUST. If the mobile number provided for TRUST is different from the mobile number recorded for SMART, the new mobile number would be updated for SMART as well as TRUST.
9. BOs are advised to check the status of their obligation from time to time and also advise the respective CMs to do so. In case of any issues, the BO/CM should approach their DPs to ensure that the obligation is fulfilled through any other mode of delivery of transactions as may be informed / made available by CDSL from time to time including submission of Delivery Instruction Slips to the DP.
10. The BO acknowledges that CDSL will send the message for confirmation of a transaction to the BO only if the Clearing Member (registered by the BO for TRUST) enters the said transaction in CDSL system for execution through TRUST within prescribed time limit.
11. The BO further acknowledges that the BO/CM shall not have any right to any claim against either the DP or Depository for losses, if any, incurred due to non receipt of response on the responsive SMS or receipt of such response after the prescribed time period. In the event of any dispute relating to the date and time of receipt of such response, CDSL's records shall be conclusive evidence and the Parties agree that CDSL's decision on the same shall be final and binding on both Parties.
12. The BO may request for deregistration from TRUST at any time by giving a notice in writing to its DP or by any other mode as specified by Depository in its operating instructions. The same shall be effected after entry of such request by the DP in CDSL system if the request is received through the DP.
13. Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.
14. The BO expressly authorises Depository to disclose to the Service Provider or any other third party, such BO information as may be required by them to provide the services to the BO. Depository however, shall not be responsible and be held liable for any divulgence or leakage of confidential BO information by such Service Providers or any other third party.
15. The BO takes the responsibility for the correctness of the information supplied by him to Depository through the use of the said Facility or through any other means such as electronic mail or written communication.
16. The BO is solely responsible for ensuring that the mobile number is not misused and is kept safely and securely. The Depository will process requests originated from the registered Mobile as if submitted by the BO and Depository is not responsible for any claim made by the BO informing that the same was not originated by him.
17. Indemnity:
In consideration of providing the service, the BO agrees that the depository shall not be liable to indemnify the BO towards any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.
18. Disclaimer:
Depository shall be absolved of any liability in case:-
 - a. There is loss of any information during processing or transmission or any unauthorized access by any other person or breach of confidentiality.
 - b. There is any lapse or failure on the part of the service providers or any third party affecting the said Facility and that Depository makes no warranty as to the quality of the service provided by any such service provider.
 - c. There is breach of confidentiality or security of the messages whether personal or otherwise transmitted through the Facility. .

	First Holder	Second Holder	Third Holder
Name			
Signature 			

(In case of Joint Holders all the Demat Account holders need to compulsorily sign this document)

Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories

General Clause

1. The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Rules and Regulations of Securities and Exchange Board of India (SEBI), Circulars/Notifications/Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.
2. The DP shall open/activate demat account of a beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

Beneficial Owner information

3. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.
4. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the demat account or furnished to the DP from time to time.

Fees/Charges/Tariff

5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the Tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that "*no charges are payable for opening of demat accounts*"
6. In case of Basic Services Demat Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and/or Depository circulars/directions/notifications issued from time to time.
7. The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

Dematerialization

8. The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.

Separate Accounts

9. The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.
10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and /or hypothecation or any other interest or encumbrance over all or any of such securities submitted for dematerialization and/or held in demat account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996 and Bye-Laws/Operating Instructions/Business Rules of the Depositories.

Transfer of Securities

11. The DP shall effect transfer to and from the demat accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.
12. The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his demat account and the DP shall act according to such instructions.

Statement of account

13. The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with the Beneficial Owner and as specified by SEBI/depository in this regard.
14. However, if there is no transaction in the demat account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such BOs and shall resume sending the transaction statement as and when there is a transaction in the account.
15. The DP may provide the services of issuing the statement of demat accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of demat accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the DP does not have the facility of providing the statement of demat account in the electronic mode, then the Participant shall be obliged to forward the statement of demat accounts in physical form.
16. In case of Basic Services Demat Accounts, the DP shall send the transaction statements as mandated by SEBI and/or Depository from time to time.

Manner of Closure of Demat account

17. The DP shall have the right to close the demat account of the Beneficial Owner, for any reasons whatsoever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial Owner as well as to the Depository. Similarly, the Beneficial Owner shall have the right to close

his/her demat account held with the DP provided no charges are payable by him/her to the DP. In such an event, the Beneficial Owner shall specify whether the balances in their demat account should be transferred to another demat account of the Beneficial Owner held with another DP or to rematerialize the security balances held.

18. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balances or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by the depository. Provided further, closure of demat account shall not affect the rights, liabilities and obligations of either the Beneficial Owner or the DP and shall continue to bind the parties to their satisfactory completion.

Default in payment of charges

19. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the demat account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.
20. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5&6 specified above, the DP after giving two days notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

Liability of the Depository

21. As per Section 16 of Depositories Act, 1996,
1. Without prejudice to the provisions of any other law for the time being in force, any loss caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial owner.
 2. Where the loss due to the negligence of the participant under Clause (1) above, is indemnified by the depository, the depository shall have the right to recover the same from such participant.

Freezing/ Defreezing of accounts

22. The Beneficial Owner may exercise the right to freeze/defreeze his/her demat account maintained with the DP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules/Operating Instructions.
23. The DP or the Depository shall have the right to freeze/defreeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority.

Redressal of Investor grievance

24. The DP shall redress all grievances of the Beneficial Owner against the DP within a period of thirty days from the date of receipt of the complaint.

Authorized representative

25. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form, furnish to the DP, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

Law and Jurisdiction

26. In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have under the Rules, Bye Laws and Regulations of the respective Depository in which the demat account is opened and circulars/notices issued there under or Rules and Regulations of SEBI.
27. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/ notices issued by SEBI and Rules, Regulations and Bye-laws of the relevant Depository, where the Beneficial Owner maintains his/ her account, that may be in force from time to time.
28. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner.
29. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Bye-laws and Regulations and circulars/notices issued there under by the depository and /or SEBI
30. Any changes in the rights and obligations which are specified by SEBI/Depositories shall also be brought to the notice of the clients at once.
31. If the rights and obligations of the parties hereto are altered by virtue of change in rules regulations of SEBI or Bye-laws, Rules and Regulations of the relevant Depository, where the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document.

Client Acknowledgment

I/We have received and read the copy of Right and Obligations document.

Signature(s) of Account Holder(s)


Signature (First Holder)


Signature (Second Holder)


Signature (Third Holder)

POWER OF ATTORNEY
(Note : Execution of Power of Attorney is not mandatory)

KNOW ALL MEN BY THESE PRESENTS THAT I/WE (First Holder/Authorized Person),

..... S/o, D/o, W/o

..... Nationality Indian, residing at

.....

I/WE (Second Holder/Authorized Person), S/o, D/o, W/o

..... Nationality Indian, residing at

.....

I/WE (Third Holder/Authorized Person), S/o, D/o, W/o

..... Nationality Indian, residing at

.....

an individual / a sole proprietary concern/ a partnership firm/ a body corporate/ trust, registered/ incorporated, under the provisions of the Indian Partnership Act, 1932/ the Companies Act 1956 or any relevant Act, having his/her/its residence/registered office/ place of business at.....(herein referred to as the "Client")

WHEREAS

Whereas Accounts processing office of ISL is at G.K.S. TOWERS, GROUND FLOOR, 935, P. H. ROAD, CHENNAI - 600084 (TN)

- A. The Client has signed a Margin Trading Agreement (hereinafter referred to as the "Agreement") to avail of Margin Trading Facility from Indiabulls Securities Limited (hereinafter referred to as "ISL") a company incorporated under the Companies Act, 1956, and having its registered office at, M-62 & 63, First Floor, Connaught Place, New Delhi-110001 and a member of The National Stock Exchange of India Limited (hereinafter referred to as the "NSE") and Bombay Stock Exchange Limited (hereinafter referred to as the "BSE") respectively (hereinafter referred to as Exchanges), also a Participant of Central Depository Services Limited (hereinafter referred to as "CDSL") and National Securities Depository Limited (hereinafter referred to as "NSDL"), and pursuant to which ISL shall fund purchases of shares bought by the Client. The shares purchased under margin trading shall be lying in the demat account bearing client ID.....& DP ID..... which shares shall be the security deposit against the amount funded by ISL.
- B. ISL may acquire memberships of various other exchanges in future and shall permit me/us to trade on these stock exchanges subject to my entering into agreement with ISL for these exchanges.
- C. The Client has undertaken and agreed to appoint ISL, acting through any of its Director(s) and/or officers duly authorized by it for the purpose, as his/her/it/their true and lawful Constituted Attorney (hereinafter referred to as the "Attorney") with full authority for the purposes mentioned herein below:

IN WITNESS WHEREOF:

- 1. In this Power of Attorney words not otherwise defined shall have the meaning as defined in the Agreement.
- 2. The Client does hereby, notwithstanding and overriding any other authority issued earlier for similar matters, nominate, constitute and appoint ISL to be its true and lawful Attorney (an "Attorney"), in his/her/its/their name and on his/her/its/their behalf and at his/her/its/their risk and costs to do or cause to be done the following acts, deeds, matters or things, that is to say:
 - (a) To operate Beneficiary account no held by me/us maintained with ISL, a Depository Participant, and execute delivery instruction slips to effect transfer of shares/securities from my/our beneficiary account, for the purpose of meeting all of my/our obligations under the margin trading scheme/agreement and shall include but shall not be limited to upfront margin (" Margin"), liquidation of Margin Trading Stock and transfer of the same from the clients margin account to client's demat account and/or delivery obligation(s) arising out of my/our trades on any recognized Stock Exchange through ISL (stock exchanges shall include exchanges in which ISL may become a member in future).
 - (b) To pledge the securities held my me/us in my/our afore mentioned account/s in favor of any Exchange and/or ISL /and /or any other entity ISL may deem fit for the purpose of meeting my/our margin requirement pertaining to the trades executed by me/us on any Stock Exchange through ISL..
 - (c) To apply for any corporate benefits such as open offers, rights issues, buyback redemptions etc. accrued on the securities in my/our beneficiary account(s).
 - (d) To transfer securities held in my/our aforesaid beneficial account(s) for meeting the "Margin" and/or delivery obligation and/or towards pledge requirements and/or such instructions as may be necessary to fulfill my/our obligations arising out of trades done by me/us through ISL and effect the same to/from the following accounts or any other account that ISL shall inform me in writing from time to time and such account no.(s) shall form a part of this POA:

120299000000017, 1202990000427968, 1202990004290610, 1202990000000021, 1100001100015099, 1202990000000061, 1202990000000074, 1100001000014348, 1202990005621760, 1100002300000106, 1100002400000345, 1202990005658191, 1202990005658208, CMBP ID: IN559097, CM BP ID: IN609079, IN302236-10000137, IN302236-10131283, IN302236-11165513, IN302236-11307062, IN301549-17876895, IN302236-12070363, 1202990006259701 any account of ISL to which the CM ID (s) IN559097, IN609079 are associated/mapped.

.....
First Holder/Authorized Signatory




.....
Second Holder/Authorized Signatory

.....
Third Holder/Authorized Signatory (12)

.....
First Holder/Authorized Signatory
.....
Second Holder/Authorized Signatory
.....
Third Holder/Authorized Signatory

- (e) To return to me/us the security (ies):
- (i) which in the sole and absolute discretion of ISL, are considered to have been received by ISL erroneously; or
 - (ii) which ISL, in its sole and absolute discretion considers to be not entitled to receive from me/us.
 - (iii) All such securities shall be returned back to the account in which the same were erroneously transferred.
3. I/We agree that in view of the above only the Attorney shall be entitled to deal with the shares lying in the demat account(s) mentioned in Point Number 2 (a).
 4. The authority hereby conferred in favor of the attorney may be revoked by me/us at any time without notice; However ISL shall act on such revocation sent by me to ISL in writing only on receiving of the said notice, at Indiabulls Securities Limited, DP Department, 12th Floor, Tower - A, Building No. C-5, DLF Cyber City, DLF Phase-3, Gurgaon - 122002, The said notice shall be a duly signed original written communication & ISL shall give effect to the said notice of revocation only after all monies, amounts, dues, charges, expenses, etc. by whatever name called, payable by me/us to ISL have been fully and duly paid by me/us.
Further I/we hereby authorize ISL to send the consolidated summary of my/our script-wise buy and sell positions taken with average rates to me/us by way of SMS./ email on daily basis and confirm that all such SMS/emails shall be considered as proof of such positions and the resultant effect on the afore mentioned dues.
 5. I/we shall be the exclusive beneficiary (ies) of the transactions carried out pursuant to this power of attorney.
 6. I/We hereby covenant, ratify and confirm that all acts done and documents executed or signed by the Attorney pursuant to this Power of Attorney has been made done and executed in good faith and shall for all purposes be valid and binding on me/us.
 7. I/We irrevocably and unconditionally undertake to indemnify the Attorney against all actions, proceedings, claims, costs, expenses and liabilities of every description arising from the exercise, or the purported exercise in good faith, of any of the powers conferred by this Power of Attorney.
 8. This Power of Attorney shall be governed by, and construed in accordance with, the laws of India and shall be subject to the jurisdiction of the Courts in Delhi.

IN WITNESS whereof I/We set my/our hands on the day, date, year and place mentioned herein above.

	First/Sole Holder	Second Holder	Third Holder
Name			
Specimen Signature 			
For INDIABULLS SECURITIES LIMITED			
Authorized Signatory			
Witness Signature: 	Witness Signature: 		
Witness Name: Witness Address:	Witness Name: Witness Address:		

Note: Signing for SMS alert facility is mandatory for clients opting for POA facility.

MANDATORY ANNEXURE TO POWER OF ATTORNEY (For HUF Account only)

This is an addendum to the Power of Attorney signed by _____ in the capacity of Karta for _____
(Name of the HUF Firm) with Indiabulls Securities Limited. I/We hereby confirm that I/we have read and understood the Terms & Conditions and agree to abide by and be bound by the same and by the bye-laws as are in force from time to time.

Details of the Co-parceners:

S. No.	Name of the Co-parcener (Major/Minor) & Guardian of Minor	Signature of Co-parcener (Major/Guardian of Minor)
1.		
2.		
3.		
4.		
5.		
6.		
7.		
8.		
9.		
10.		

Note: In case a Co-parcener is a Minor, Guardian's name along with Name of the Minor and signature of the guardian should be provided at the above mentioned space.

Name of Karta _____

Signature of Karta with Stamp _____

INDIABULLS SECURITIES LTD.

Registered Office: M 62 & 63, First Floor, Connaught Place, New Delhi - 110001. Tel.: 011 30252900, Fax: 011 30252901
Correspondence Address: 12th Floor, Tower - A, Building No. C-5, DLF Cyber City, DLF Phase-3, Gurgaon-122 002
Tel.: (0124) 3834500, Fax: (0124) 3834501

SCHEDULE 'A'

SCHEDULE OF CHARGES [CDSL - DP ID : 12029900] (w.e.f. 3rd March 2014)

Charge Head		Charges	
		Account with POA	Account without POA
Stamp Paper Charges		Nil	Rs. 100/-
AMC Charges for Basic Services Demat Account (BSDA)	Value of holdings up to Rs 50,000/-	Nil	Nil
	Value of holdings between Rs 50,001/- to Rs 2,00,000/-	Rs 100/-	Rs 100/-
AMC Charges (inclusive of Service Tax)	Individual	Rs. 450/-	Rs. 500/-
	Corporate	Rs. 1000/-	Rs. 1500/-
Custody Charges		Nil	Nil
Transaction Charges (Buy)		Nil	Nil
Transaction Charges (Sell)		Rs. 10/- per Transaction/Incase of NRI/OCB clients Rs. 15/- or .02% of the transaction value (whichever is higher)	
Off-Market Transactions		Rs. 15/- or .02% of the transaction value (whichever is higher)	
Failed Instruction		Nil	Rs. 20/- per instruction.
Pledge Creation/Confirmation/Closure/Invocation		Rs. 25/- or .02% of the transaction value (whichever is higher)	Rs. 25/- or .02% of the transaction value (whichever is higher)
DEMAT/Conversion		Re. 1/- per certificate (max Rs. 250/-) + Rs. 25/- courier charges	Rs. 2/- per certificate (max Rs. 250/-) + Rs. 25/- courier charges
DEMAT/Conversion Rejections		Rs. 20/- per rejection + Rs. 25/- courier charges	Rs. 20/- per rejection + Rs. 25/- courier charges
REMAT/Redemption/Restart-SOA		a) A fee of Rs. 15/- for every 100 securities/MF Units or part thereof. OR b) A flat fee of Rs. 15/- per certificate, whichever is higher.	a) A fee of Rs. 15/- for every 100 securities/MF Units or part thereof. OR b) A flat fee of Rs. 15/- per certificate, whichever is higher.
Delivery Instruction Book		Nil	Rs. 25/- No charges for first book.
Fax Indemnity (Optional)		Actual	Actual
Courier/Postal Charges of Security/MF item, security/MF certificate etc.		Actual (Applicable for NRI/OCB clients only)	Actual (Applicable for NRI/OCB clients only)

Note:

- In case of delays in the payment of charges, the demat account will be frozen for all operations till such time all dues are cleared subject to 30 days notice from the payment due date.
- All instructions for transfer must be received in physical form from the clients upto 4:00pm on "T+1" for pay in of securities Late instructions would be accepted at the account holder's sole risk and responsibility.
- Charges are subject to revision at company's sole discretion and as per revisions in CDSL charges and are subject to 30 days notice from the date of applicability.
- All payments to be made in the name of "Indiabulls Securities Ltd." via a Cheque/DD payable at the local branch where the account is opened. Out-station cheques will not be accepted.
- Out of pocket expenses incurred on the dispatch of securities for Dematerialisation and communication charges incurred on out-station calls/faxes made specifically on request of the client will be charged on actuals.
- Any advance payment over and above the normal amount due can also be made. Any such higher amount paid than the minimum amount payable at the time of account opening shall be adjusted against the bills raised from time to time.
- Upfront payment of Rs. 5000/- for Minor Accounts.
- It may be noted that in the event of demat account closure or shifting of demat account to another DP, the upfront annual AMC collected is refundable to the extent of balance quarter(s)
- In case of BSDA Account, the value of holdings exceeds Rs.2,00,000/- on any date or the account holder cease to meet the eligibility criteria for BSDA, then charges would be levied as that applicable to regular account (nonBSDA) from that date onwards.

CHARGES ON NON-PAYMENT OF DUES:

Penalty and Interest Charges	A penalty of 1.5% per month on the value outstanding on the bill after one month from the due date.
Non-Payment of bill after 30 days	Temporarily the Depository Services will be withdrawn from the payment due date. The renewal charges for resuming the Services will be Rs. 100/- per account.

Signature (First Holder)

Signature (Second Holder)

Signature (Third Holder)

**DO's
FOR INVESTOR**

Please	
1.	Scrutinize thoroughly both the transaction and holding statement that you receive.
2.	Handle Delivery Instruction Slips (DIS) Book issued to you carefully.
3.	Insist that the DIS numbers are pre-printed and your account number (client id) be pre-stamped.
4.	Always mention the details like ISIN, number of securities accurately. If in doubt, contact DP or your broker.
5.	Please note execution of Power of Attorney is not compulsory.
6.	Please understand the utility of executing Power of Attorney before signing the same.
7.	In case you are not transacting frequently make use of the freezing facility provided for your demat account.
8.	In case you are availing margin trading facility, you will have to compulsorily open a demat account with the DP which will be jointly operated by you & the DP.
9.	Authorize any corrections, over-writing or cancellations on the instruction slips by signing against the same.
10.	Ensure that all joint holders of the demat account sign the slip.
11.	If there is space for multiple instructions and it is not used fully, please strike out the blank space for furnishing securities details.
12.	Avoid over-writing, cancellations, misspellings, changing of the name and quantity of securities.
13.	While sending securities for demat, record the distinctive numbers of the securities sent.
14.	Check the demat performance of the issuer company with your DP before deciding to send certificates for demat.

**DONT's
FOR INVESTOR**

Please	
1.	Do not issue demat delivery instruction slip from any other family members, friends accounts. Issue the DIS only from your own demat account.
2.	Do not sign blank Delivery instruction slip(s) while meeting security payin obligation.
<div style="position: absolute; top: 50%; left: 50%; transform: translate(-50%, -50%); opacity: 0.3; font-size: 48px; font-weight: bold; pointer-events: none;"> DEMAT ACCOUNT FOR MARGIN TRADING </div>	

Disclaimer :

The information has been compiled to present the investor with a broad understanding of the subject and is general in nature. The contents do not purport to explain or interpret Acts, Circulars, Rules, Regulations and Guidelines.


This information is not intended as a source of advertising or solicitation and the contents of the same should not be construed as legal advice. Readers should take specific advice from qualified professionals when dealing with specific situations and should not consider this as an invitation for a Depository Participant – Beneficial Owner relationship.


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From the team at Indiabulls Securities Limited **"HAPPY INVESTING"**

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Email : helpdesk@indiabulls.com
Client Helpline : 0124-4572 444


 Signature (First Holder)


 Signature (Second Holder)


 Signature (Third Holder) (16)